

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DeAddio et al.

Serial No.: 09/127,341

For:

OBJECT ORIENTED
SYSTEM FOR MANAGING
COMPLEX FINANCIAL
INSTRUMENTS

Confirmation No.: 9998

Group Art Unit: 3696

Examiner:

Felten Sanieel S.

Atty Docket No.:

11021-0005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT PURSUANT TO 37 CFR 1.312

This paper incorporates Applicant's comments with regard to the Examiner's amendment dated August 24, 2009. Applicant is also submitting herewith a substitute specification, to incorporate all specification amendments made during the course of prosecution and to make additional typographical corrections.

This paper is being submitted in connection with payment of the issue fee.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks/Arguments begin on page 7 of this paper.